

OnTrackNY

CLINICAL AND FUNCTIONING OUTCOMES FORM

Adapted in part from items on the Mental Illness Research, Education, and Clinical Center (MIRECC) version of the Global Assessment of Functioning (GAF) scale, and the Recovery After an Initial Schizophrenia Episode (RAISE) Connection Program codebook

Developed at the Division of Mental Health Services and Policy Research

And the Centers for Practice Innovation

New York State Psychiatric Institute, New York, NY

The purpose of this form is to assess the clinical and functioning outcomes of persons enrolled in OnTrackNY. While this assessment form can be completed on a quarterly basis, the evaluation period should be based on the service seeker's progress during the past 30 days. All 3 sub-scales (symptom, occupational functioning, and social functioning) include a "Tools for Assessment" section. While all items need not be completed; this section serves as a guide to help the clinician determine an appropriate score for each category.

This assessment is a compilation of information based on self-reports, observation, and information obtained from other OnTrackNY providers. This form can be completed by the Team Leader or Primary Clinician.

Client Name: _____

Date: ___/___/___

Completed By (name and primary role on Team): _____

I. Clinical Assessment

A. Indicators

- a. Symptoms present (mood and psychotic symptoms)
- b. Symptom severity (including pervasiveness and frequency)
- c. Impact on behaviors and functioning (including dangerousness to self or others)

B. Tools for Assessment

Symptom	Severity	Impact
Mood	<p>Frequency</p> <ul style="list-style-type: none"> <input type="checkbox"/> Absent <input type="checkbox"/> Less than 1 week <input type="checkbox"/> Approximately 2 weeks <input type="checkbox"/> More than 3 weeks <p>Pervasiveness</p> <ul style="list-style-type: none"> <input type="checkbox"/> Mild (e.g. in reaction to a particular event/stressor) <input type="checkbox"/> Symptoms occur across multiple contexts <input type="checkbox"/> Symptoms are present for most of the day <p>Observable (e.g. tearful, blunted)</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes 	<p>Behavior</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes <p>Functioning</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes
Anxiety	<p>Frequency</p> <ul style="list-style-type: none"> <input type="checkbox"/> Absent <input type="checkbox"/> Less than 1 week <input type="checkbox"/> Approximately 2 weeks <input type="checkbox"/> More than 3 weeks <p>Pervasiveness</p> <ul style="list-style-type: none"> <input type="checkbox"/> Mild (e.g. in reaction to a particular event/stressor) <input type="checkbox"/> Symptoms occur across multiple contexts <input type="checkbox"/> Symptoms are present for most of the day <p>Physiological Response (e.g. increased heart rate, shortness of breath, sweaty palms)</p> <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No <p>Observable (e.g. restless, tense, fidgety)</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes 	<p>Behavior</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes <p>Functioning</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes

Psychosis: positive symptoms	<p>Delusions</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes (loosely formed) <input type="checkbox"/> Yes (belief held with conviction) <input type="checkbox"/> More than one <p>Hallucinations</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes (loosely formed) <input type="checkbox"/> Yes (intrusive) <input type="checkbox"/> More than one type <p>Other</p> <ul style="list-style-type: none"> <input type="checkbox"/> Heightened suspiciousness or persecution <input type="checkbox"/> Grandiosity <p>Frequency (of combined positive symptoms)</p> <ul style="list-style-type: none"> <input type="checkbox"/> Less than a few days <input type="checkbox"/> About half of the month <input type="checkbox"/> Nearly every day or every day 	<p>Behavior</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes <p>Functioning</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes
Psychosis: negative symptoms	<p>Observable (flat affect, restricted and/or stilted movements, diminished speech)</p> <ul style="list-style-type: none"> <input type="checkbox"/> No <input type="checkbox"/> Yes 	
Thought Process and Speech	<ul style="list-style-type: none"> <input type="checkbox"/> Tangential or Loose associations <input type="checkbox"/> Thought blocking <input type="checkbox"/> Unusual thought content <input type="checkbox"/> Circumstantial speech 	
Brief description (optional):		

II. Occupational Functioning

A. Indicators

- a. Primary role (student, worker, homemaker)
- b. Performance (at school or work)
- c. Ability to manage responsibilities (including financial responsibilities)
- d. Ability to obtain and maintain basic living skills (food, shelter, and housing)

B. Tools for Assessment

Primary Role	Status and Performance
Student Program Type <ul style="list-style-type: none"> <input type="checkbox"/> High school <input type="checkbox"/> Vocational Training (trade or certificate program) <input type="checkbox"/> Two-year college <input type="checkbox"/> Four-year college <input type="checkbox"/> Graduate Study 	Enrollment status <ul style="list-style-type: none"> <input type="checkbox"/> Full-time <input type="checkbox"/> Part-time <input type="checkbox"/> Not enrolled Grades <ul style="list-style-type: none"> <input type="checkbox"/> A (90+)
Description of coursework <hr/> <hr/> <hr/> <hr/>	<ul style="list-style-type: none"> <input type="checkbox"/> B (80-89) <input type="checkbox"/> C (70-79) <input type="checkbox"/> D (65-69) <input type="checkbox"/> F (below 65) Days missed <ul style="list-style-type: none"> <input type="checkbox"/> None <input type="checkbox"/> Minimal (1 or 2) <input type="checkbox"/> Moderate (missed several days or consistently late) <input type="checkbox"/> Severe (i.e. missed several days due to hospitalization) Assignments and Tests <ul style="list-style-type: none"> <input type="checkbox"/> Submitting all or nearly all assignments in a timely manner <input type="checkbox"/> Missed a few assignments <input type="checkbox"/> Missed several assignments <input type="checkbox"/> 80 or above on exams <input type="checkbox"/> Below average on exams Need for extra supports (e.g. alternative environment, extra time for tests, alternative schedule) <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No

<p>Worker</p> <ul style="list-style-type: none"> <input type="checkbox"/> Professional/Technical/Managerial <input type="checkbox"/> Clerical/Sales <input type="checkbox"/> Service <input type="checkbox"/> Construction/Mechanical/Factory Worker <input type="checkbox"/> Agricultural <input type="checkbox"/> Transportation (e.g. bus or taxi driver) <input type="checkbox"/> Military or security e.g. police or fire fighter) <input type="checkbox"/> Other, please specify <p>_____</p> <p>Brief Description of responsibilities:</p>	<p>Employment Status</p> <ul style="list-style-type: none"> <input type="checkbox"/> Full-time (35-40 hours p/week) <input type="checkbox"/> Part-time <p>Competitive (all items must be checked)</p> <ul style="list-style-type: none"> <input type="checkbox"/> Hourly salary above NY state minimum wage (current: \$8.75 p/hour. Consider minimum met if monthly salary is over \$1,400, or yearly salary is over \$16,800) <input type="checkbox"/> Employer writes paycheck <input type="checkbox"/> Client is not being supervised by mental health agency worker <input type="checkbox"/> Job is not reserved for people from mental health rehab agencies
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<hr/> <hr/> <hr/> <hr/>	<p>Non-Competitive</p> <ul style="list-style-type: none"> <input type="checkbox"/> One or more items above not checked <input type="checkbox"/> Volunteer/Internship position (score higher within range if applicable) <input type="checkbox"/> Working for family member/friend <p>Days missed</p> <ul style="list-style-type: none"> <input type="checkbox"/> None <input type="checkbox"/> Minimal (1 or 2) <input type="checkbox"/> Moderate (missed several days or consistently late) <input type="checkbox"/> Severe (i.e. missed several days due to hospitalization) <p>Need for extra supports (e.g. alternative environment, alternative schedule, reduced responsibilities)</p> <ul style="list-style-type: none"> <input type="checkbox"/> Yes <input type="checkbox"/> No
<p>Homemaker</p>	<p>Household responsibilities</p> <ul style="list-style-type: none"> <input type="checkbox"/> All household tasks and chores attended to <input type="checkbox"/> Needs minimal support/help from others <input type="checkbox"/> Needs extensive help with tasks and/or maintaining cleanliness <p>Child or Elderly care</p> <ul style="list-style-type: none"> <input type="checkbox"/> Able to tend to all or nearly all responsibilities of taking care of a child or elderly person (able to provide food, shelter, clothing, care for ailments, etc.) <input type="checkbox"/> Needs minimal support/help from others <input type="checkbox"/> Needs extensive help (i.e. unable to take care of child or elderly care responsibilities)

<p>Additional Factors</p>	<ul style="list-style-type: none"> ❑ Extracurricular activities (range of activities— organized clubs or sports-- with moderate involvement) ❑ Manages his or her own finances ❑ Fulfilling additional Childcare and/or household responsibilities (for student or worker category only) ❑ Actively seeking employment (submitting applications, resume building, etc.) ❑ Actively seeking re-enrollment in school
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*Competitive work includes jobs paying at least minimum wage and for which anyone in the community can apply. Under-the-table work can also be considered competitive, as long as the worker is paid at least minimum wage. If a person works for a family member or close friend, consider the extent to which the person’s position is protected or limited by those family or friend relationships in deciding whether those jobs are competitive. Jobs set aside for people with mental illness or other disabilities are not considered competitive work.

**Non-competitive work includes work in sheltered workshops or jobs completed for family members or close friends only. If person is working for a family member or friends, consider the extent to which individual’s position is limited or protected by family relationships. Internships or volunteer positions with moderate involvement should be considered in the higher range of non-competitive work.

*** This does not apply to individuals who could be in the labor force or who would be expected to be a student as their primary role.

III. Social Functioning

A. Indicators

- a. Number of meaningful relationships with family and friends
- b. Ability to develop new relationships
- c. Avoidance, Conflict, and Withdrawal

B. Tools for Assessment

Relationship	Engagement and Reciprocity	Conflict, Avoidance, & Withdrawal
<p>Family</p> <p># of close relationships: _____</p>	<p>Communication and time spent with family members:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Never <input type="checkbox"/> Less than Monthly <input type="checkbox"/> Monthly <input type="checkbox"/> Weekly <input type="checkbox"/> Daily <p>Engagement and reciprocity</p> <ul style="list-style-type: none"> <input type="checkbox"/> Minimal activities together <input type="checkbox"/> Wide range of activities <input type="checkbox"/> Client and family member(s) are able to seek help and/or advice from one another 	<p>Conflict</p> <ul style="list-style-type: none"> <input type="checkbox"/> Minor and minimal (occur infrequently, able to resolve matters appropriately) <input type="checkbox"/> Moderate or severe (disputes occur frequently, may result in physical violence) <p>Avoidance & Withdrawal</p> <ul style="list-style-type: none"> <input type="checkbox"/> Client initiates activities <input type="checkbox"/> Client participates in activities only when engaged <input type="checkbox"/> Client often declines invitations to engage in activities
<p>Friends</p> <p># of close relationships: _____</p>	<p>Communication and time spent with family members:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Never <input type="checkbox"/> Less than Monthly <input type="checkbox"/> Monthly <input type="checkbox"/> Weekly <input type="checkbox"/> Daily 	<p>Conflict</p> <ul style="list-style-type: none"> <input type="checkbox"/> Minor and minimal (occur infrequently, able to resolve matters appropriately) <input type="checkbox"/> Moderate or severe (disputes occur frequently, may result in physical violence)

	Engagement and reciprocity	Avoidance & Withdrawal
	<ul style="list-style-type: none"> <input type="checkbox"/> Minimal activities together <input type="checkbox"/> Wide range of activities <input type="checkbox"/> Client and family member(s) are able to seek help and/or advice from one another 	<ul style="list-style-type: none"> <input type="checkbox"/> Client initiates activities <input type="checkbox"/> Client participates in activities only when engaged <input type="checkbox"/> Client often declines invitations to engage in social activities
New Friends	<ul style="list-style-type: none"> <input type="checkbox"/> Client has made one or more new friends <input type="checkbox"/> Client exhibits ability to interact with new people and begin forming friendships <input type="checkbox"/> Client has difficulty speaking to new people <input type="checkbox"/> Client avoids interpersonal interactions 	
Other relationships (caregivers, professionals, peers)	<ul style="list-style-type: none"> <input type="checkbox"/> Client is able to appropriately engage with others (in group settings, appointments, etc.) <input type="checkbox"/> Client has difficulty engaging with others, however will attempt interaction <input type="checkbox"/> Client actively avoids most interpersonal interactions 	